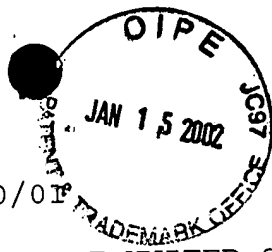


Rev. 10/01



2162#3  
SI  
CF-24 04.2201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrew H. Pritchard  
Application No.: 09/927,628 Confirmation No.: 5363  
Filed : August 10, 2001  
For : SYSTEMS AND METHODS FOR DEVELOPING AND  
ADMINISTERING INVESTMENT TRUSTS  
Group Art Unit : 2162  
Examiner : Not Yet Assigned

RECEIVED

JAN 23 2002

Technology Center 2100

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

New York, New York  
January 8, 2002

TRANSMITTAL LETTER FOR  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information  
Disclosure Statement in the above-identified application.

This Statement is submitted:

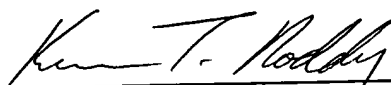
- ☐ within three months of the application filing  
date;
- ☒ more than three months from the application  
filing date but before the mailing date of  
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason  
a fee is due, the Director is hereby authorized to charge  
payment of any fees required in connection with this  
Supplemental Information Disclosure Statement to Deposit



Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Kevin T. Roddy  
Registration No. P-50,577  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

I hereby certify that this  
correspondence is being  
deposited with the U.S.  
Postal Service as First  
Class Mail in an envelope  
addressed to Assistant  
Commissioner for  
Patents - P.O. Box 2327  
Arlington, VA 22202-0027

January 8, 2002  
Charles R. Bailey



Signature of Person Signing



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
CF-24APPLICATION NO.  
09/927,628APPLICANT  
Andrew H. PritchardCONFIRMATION NO.  
5363FILING DATE  
August 10, 2001GROUP  
2162SECOND SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,088,685	07/11/2000	Kiron et al.	705	36	

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**JAN 23 2002**  
**Technology Center 2100**

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.